



● EQUITY ● DERIVATIVE ● COMMODITY
● CURRENCY ● DP:CDSL

Welcome to TRADEDEAL ONLINE:

Authorise Person Policy

1. A person who has the knowledge of how the stock market works and has a vision to make Online Discount broking as his/her/its profession can apply for the position of an authorised person (herein now referred as "AP"). Tradedeal holds the right to deny / cancel the registration without providing any concrete reasons.
2. An AP has the right to start a new business or a job provided that the business/work/job is not related to the stock market and detrimental to the business object of Tradedeal.
3. Registration with the exchanges is a necessity and without obtaining registration certificate, AP is not allowed to be associated with Tradedeal and No Trading Terminals shall be provided unless properly registered with Exchange/segment.
4. Zero deposit policy – AP will have to provide no deposit at the time of registration, however in exceptional cases, management may decide certain amount as Security Deposit.
5. An AP, post the registration, will have to attend a compulsory 3 days training session at the Head-office of Tradedeal.
6. Sharing will never exceed above 70%. Brokerage sharing will be as mentioned below:

No. of Clients	Brokerage sharing (in %)
1 – 350*	60
351 – 700	65
701 & Above	70

*Minimum commitment shall be 25 Clients, on achieving the target of 25 clients, AP shall be eligible to receive the sharing benefit.

7. Sharing to the AP is restricted to "sharing from brokerage" only. Further in case of brokerage tariff "*Trade punched through "TradeDeal" Dealer*" Structure, Sharing shall be strictly done from brokerage income not exceeding Rs.18 per order only unless AP has developed infrastructure to manage "*TradeDeal*" Dealer at his premise in commensurate with management policy and minimum set standards.
8. AP will get his sharing by 15th of next month subject to TDS. AP shall be remain responsible for Service Tax applicability and/or liability from his/her/its own income.
9. AP shall be responsible to disclose all demat, trading and bank accounts opened in its name to Tradedeal and upon completion of financial year, statement for the same shall be provided to Tradedeal.
10. Tradedeal has right to inspect, audit and supervise the AP including premise, books of accounts, bank and demat account. Tradedeal may levy actual charge upon AP.

11. AP shall be responsible to clear all debit balance of clients referred by him and shall be liable to clear all the debits in case of failure on the part of client. Tradedeal shall have right to forfeit security deposit, if any, or shall debit the AP sharing ledger. In case, amount is not sufficient to recover debit, AP is bound to issue cheque in favour of Tradedeal for remaining debit amount. At times, debit is carried over beyond 5th day and if the same is not recovered from client, AP has to clear such debit. Any stock of client lying with us shall not be liquidated beyond 6th day of trade.
12. TradeDeal is committed to value creation and unlocking of true business value through Public Issue for all its stake holders, employees and associates. Further, ESOPS as per prevailing SEBI Norms shall be provided to all its employees and any unsubscribed portion shall be offered to all Active AP as a gesture of business association at 20% discount on offer price.
13. Tradedeal has come up with a unique sharing related policy that will provide immense benefits to our Individual APs. This policy allows Individual AP to appoint nominee who will receive sharing benefit upon any unfortunate event occurred to individual AP. The said nominee shall receive sharing afterwards provided he has obtained AP registration in his individual name and is in compliance with AP registration terms.
14. AP shall have to pay the cancellation charges to the respective exchanges they are registered with. At the time of cancellation, Authorised person will have to advertise the same in a local newspaper. Expense of the same shall be borne by the AP.
15. Infrastructure cost viz. premise, computers, printers, internet, phone lines etc. will be borne by the AP. Tradedeal won't be providing any expense related to the infrastructure cost.
16. AP shall abide by Tradedeal's RMS policy and shall be bound to the Bad market + Good market policies.
17. AP shall have to work according to the norms and policies of Tradedeal and in compliance with the SEBI stipulated norms and policies.
18. Tradedeal can appoint more than one AP in a particular location and can also setup a support centre if it wishes to.
19. AP shall be liable to provide support to the clients that join under him. Tradedeal may provide support in case the AP is not able to do the same.
20. AP shall have to cooperate with Tradedeal upon technical failures viz Linkage Failure, software failure, network failure, computer failure, etc.
21. AP shall not involve himself in following activities;
 - a. Dabba Trading
 - b. Illegal Trading
 - c. Portfolio Management
 - d. Investment advisory

- e. Research Analyst
- f. Assured Return / Guaranteed Return/ spongy Scheme
- g. Any other activity detrimental to main object of Tradedeal.

In case of activities referred in c, d and e, if AP wishes to provide such activities through obtaining SEBI Registration Certificate in his name, prior approval of management must be taken.

22. Marketing Policies:

- a. All the marketing related efforts should be first discussed with Tradedeal’s Management and then it should be implemented after prior approval from the management. The market efforts should be based on Tradedeal’s theme and should be compliant to the stock market norms & policies.
- b. Expense related to the regular marketing will be paid by the AP. Tradedeal shall reimburse 25% of the expense provided prior approval with estimates has been obtained and final bills are submitted to Tradedeal.
- c. Company will provide an electronic Board of the company’s logo to the AP. However, the AP shall be liable to pay the electricity bill, Board Space Rent and any other incidental and ancillary maintenance charges. Ownership of Electronic Board shall remain with Tradedeal.
- d. In case, an AP decides to have a grand opening ceremony, Seminar, group meetings, expo or any other public gatherings and or events; Tradedeal will provide 50% of the expense or a predefined amount as mentioned below (whichever is lower) provided prior approval with estimates has been obtained.

Predefined amount referred herein shall mean

Amount	Cities
Rs. 20000/-	Villages/Town/Talukas
Rs. 50000/-	Metro-Mega Cities
Rs. 35000/-	Other cities

23. In-active Authorised person policy:

- a. The term “In-active Authorised person” refers to an AP that has stopped adding/registering clients with TradeDeal.

An Authorised person becomes in-active if following conditions are satisfied

- i. He/she/it doesn’t register a client for a period of over 1 year and;
- ii. Have more than 75% of referred clients in inactive mode during last financial year or
 Brokerage earnings are less than Rs. 1000 per month brokerage in previous financial year.
- b. In case, an authorised person become inactive, he/she/it shall no longer receive the sharing on brokerage and shall be obliged to active his association or cancel the registration within 90 days of his/her/its becoming inactive.