Non-Mandatory **SMS AND EMAIL ALERTS FACILITY** NSE/BSE/MSEI

From: ______________________________

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To,

TradeDeal Financial Services Pvt. Ltd.,

Registered Office :- A1210, Floor No.12 Titanium City Centre, Nr. Sachin Tower, 100ft Anand Nagar Road, Satellite, Ahmedabad - 380015, Gujarat (India).

Correspondence Office :- Office No. 104, Plot No. 1891, Shukan Complex, Rupani Circle to Atabhai Chowk, Bhavnagar – 364 001, Gujarat (India).

Dear Sir / Madem,

Sub: SMS and Email alerts facility by Stock Exchanges

I/We am/are aware that the stock exchanges have commenced a process of confirming to investors details of their transactions carried out through stock brokers vide SMS and Email alerts on mobile and email I'd of the investor respectively.

I/We am/are aware that Stock Exchanges provide the details of the trades executed on its trading platform to the concerned clients/ constituents through SMS and E-mail alerts.

I/We am/are aware that the Member has to provide the trade details through SMS/ E-mail alerts for my/our convenience at my/our request only.

I/ We hereby confirm that I/ we wish to receive the trade alerts through:

1. SMS alerts ☐ Yes ☐ No
2. Email alerts ☐ Yes ☐ No
3. SMS and Email alerts ☐ Yes ☐ No

I/We provide herewith the mobile number and email I'd for receiving the details of the transactions:

Mobile No.: ☐☐☐☐☐☐☐☐☐☐

Email ID: ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Registered in the name of ☐ Self ☐ Others

In case Mobile No. is registered in the name of any other person please specify the following:

Name of the person: ______________________________

Relationship with the Client ☐ Spouse ☐ Dependent Child ☐ Dependent parent

I/We also understand that stock brokers are required to provide information of mobile no and email I'd to stock exchanges for their clients to avail this facility and I/We hereby authorise TradeDeal Financial Services Private Limited to provide such information to stock exchanges.

I/We understand and agree that TradeDeal Financial Services Private Limited may at its discretion conduct necessary checks to ascertain that the mobile number and email I'd above belong to me/us before passing on information to the stock exchanges. Further, I/We understand and agree that stock exchanges may also carry out necessary checks as they deem fit before enabling such facility to me/us.

I/We understand and agree that TradeDeal Financial Services Private Limited is merely facilitating transmission of information in order that I/We are able to avail this facility and undertake to indemnify TradeDeal Financial Services Private Limited, its employees and its affiliates from any losses/claims/damages that may arise in this regard.

I/We am/are also aware that this is an additional facility provided by the Exchange and I/we shall not solely rely or use such data for any purpose and Exchange shall not be liable for any direct or indirect loss of any nature because of providing this additional facility.

I/We hereby agree to the terms and conditions specified by the Exchanges, SEBI regarding SMS and email alerts to investors and circulars/ clarifications issued by the Exchanges, SEBI from time to time in this regard.

I/We will intimate any change in the mobile number and E-Mail Id mentioned herein above through a letter in writing to you.

Yours faithfully,

Name of Client: ______________________________

Client Signature: ______________ Place: ______________ Date: ______________